

10/579335

AP20 Rec'd PGI/PTO 15 MAY 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q94902

Yuji ITOH, et al.

Appln. No.: Based on PCT/JP2004/017317

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: May 15, 2006

Examiner: Unknown

For: POLISHING COMPOSITION AND POLISHING METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: Based on PCT/JP2004/017317

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: JP-A-10-116490, JP-A-8-83780, JP-A-9-55363, JP-A-2001-89749, and non-patent literature “Up-to-Date CMP Process and Material Technology” published by Technical Information Institute Co., Ltd., are cited and discussed on pages 2 through 4 in the specification of the present application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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U.S. Appln. No.: Based on PCT/JP2004/017317

**10/579335**

**AP20040506-00000 15 MAY 2006**

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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**23373**

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Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>		
				Application Number	Based on PCT/JP2004/017317	
				Confirmation Number	Unknown	
				Filing Date	May 15, 2006	
				First Named Inventor	Yuji ITOH	
				Art Unit	Unknown	
Examiner Name				Unknown		
Attorney Docket Number				Q94902		
Sheet	1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0095874	A1	07-25-2002	Y. TSUCHIYA, et al.
		US 6,126,514	A	10-03-2000	M. MUROYAMA
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	03/072672	A1	09-04-2003	APPLIED MATERIALS, INC.	
		WO	03/015981	A2	02-27-2003	ADVANCED TECHNOLOGY MATERIALS, INC.	
		WO	02/31072	A1	04-18-2002	ANAM SEMICONDUCTOR INC.	
		WO	03/068883	A1	08-21-2003	CABOT MICROELECTRONIC CORPORATION	
		JP	10-116490	A	05-06-1998		
		JP	8-83780	A	03-26-1996		
		JP	9-55363	A	02-25-1997		
		JP	2001-89749	A	04-03-2001		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		"Up-to-Date CMP Process and Material Technology, Published by Technical Information Institute Co., Ltd., 2002, page 133	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.